FORM PTO-1390 U.S. DEPARTMENT OF COMMERCE ATTORNEY DOCKET NO. PATENT AND TRADEMARK OFFICE (REV 2-2005) 12007-0061 OMB-0651-0021 DATE: 03/09/2007 TRANSMITTAL LETTER TO THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US) U.S. APPLN. NO. **CONCERNING A FILING UNDER 35 U.S.C. 371** (IF KNOWN, SEE 37 C.F.R. 1.5) 10/562,215 PRIORITY DATE CLAIMED INTERNATIONAL APPLICATION NO. INTERNATIONAL FILING DATE **JUNE 23, 2004 JUNE 26, 2003** PCT/DE2004/001313 TITLE OF INVENTION: SURGICAL DRAPE (AS AMENDED) APPLICANT(S) FOR DO/EO/US: Klaus JUNK Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information: 1. This is a FIRST submission of items concerning a filing under 35 U.S.C. 371. (THE BASIC FILING FEE IS ATTACHED) This is a **SECOND** or **SUBSEQUENT** submission of items concerning a filing under 35 U.S.C. 371. 3: This express request to begin national examination procedures [35 U.S.C. 371(f)] at any time rather than delay examination until the expiration of the applicable time limit set in 35 U.S.C. 371(b) and PCT Articles 22 and 39(1). ☐ The US has been elected (Article 31). 5. A copy of the International Application as filed [35 U.S.C. 371(c)(2)] a. 
is transmitted herewith (required only if not transmitted by the International Bureau). b. has been transmitted by the International Bureau. c. is not required, as the application was filed in the United States Receiving Office (RO/US). 6. An English language translation of the International Application as filed [35 U.S.C. 371(c)(2)]. a. is attached hereto. b. a has been previously submitted under 35 U.S.C. 154(d)(4). 7. Amendments to the claims of the International Application under PCT Article 19 [35 U.S.C. 371(c)(3)] a. 

are transmitted herewith (required only if not transmitted by the International Bureau). b. have been transmitted by the International Bureau. c. have not been made; however, the time limit for making such amendments has NOT expired. d. have not been made and will not be made. An English language translation of the amendments to the claims under PCT Article 19 [35 U.S.C. 371(c)(3)]. An oath or declaration of the inventor(s) [35 U.S.C. 371(c)(4)]. An English language translation of the annexes of the International Preliminary Examination Report under PCT Article 36 [35 U.S.C. 371(c)(5)]. Items 11 - 20 below concern other document(s) or information included: An Information Disclosure Statement under 37 C.F.R. 1.97 and 1.98. An assignment document for recording. A separate cover sheet in compliance with 37 C.F.R. 3.28 and 3.31 is included. A FIRST preliminary amendment. A SECOND or SUBSEQUENT preliminary amendment. An Application Data Sheet under 37 CFR 1.76. 14. A substitute specification. A power of attorney and/or change of address letter. A computer-readable form of the sequence listing in accordance with PCT Rule 13ter.2 and 35 U.S.C. 1.821 - 1.825. A second copy of the published international application under 35 U.S.C. 154(d)(4). A second copy of the English language translation of the international application under 35 U.S.C. 154(d)(4). Other items or information: Petition for Extension of Time 20:

| U.S. APPLN. NO. (IF KNOWN,  |   | INTI                       | INTERNATIONAL APPLICATION NO.                         |                   |              | ATTORNEY DOCKET NO. 12007-0061                |                      |
|---|---|----------------------------|---|-------------------|--------------|---|----------------------|
| SEE 37 C.F.R. 1.50)<br>10/562,215   |   |                            | PCT/DE2004/001313                                     |                   |              | DATE: 03/09/2007                              |                      |
| ☐ The following fees are submitted:   |   |                            |   |                   | CALCULATIONS | PTO USE ONLY                                  |                      |
| 21.) Basic National Fee\$300  |   |                            |   |                   | \$           |   |                      |
| 22.) Examination Fee - If International Preliminary Examination Report prepared by USPTO and all claims satisfy provisions of PCT Article 33(1)-(4)   |   |                            |   |                   | \$           | ·   |                      |
| ☐ 23.) Search Fee - If Search Fee (37 CFR 1.445(a)(2) has been paid in the International Application to the USPTO as an International Searching Authority   |   |                            |   |                   |              | \$  |                      |
| TOTAL OF 21, 22 and 23 =  |   |                            |   |                   | \$           |   |                      |
| Additional fee for specification and drawings filed in paper over 100 sheets (excluding sequence listing on computer program listing filed in an electronic medium). The fee is \$250 for each additional 50 sheets of paper or fraction thereof.   |   |                            |   |                   | \$           |   |                      |
| Total Sheets  |   |                            | f each additional 50 or fra<br>und up to a whole numb |                   | RATE         |   |                      |
| -100  | 0/50=                                   |                            | <u> </u>  |                   | × \$250      | \$  |                      |
| "Surcharge of \$130.00 for furnishing the oath or declaration later than 30 months from the earliest claimed priority date [37 C.F.R. 1.492(h)].  |   |                            |   |                   |              | \$ 130  | ·                    |
| Claims  | Number File                             | d                          | Number Extra  |                   | Rate         |   |                      |
| Total Claims  | otal Claims - 20 =                      |                            | 0   | X \$50.00         |              | \$  |                      |
| Independent Claims - 3 =  |   |                            | 0 X   |                   | \$200.00     | \$  |                      |
| Multiple dependent claim(s) (if applicable)   |   |                            |   | + \$360.00        |              | \$  |                      |
| TOTAL OF ABOVE CALCULATIONS =   |   |                            |   |                   | \$ 130       |   |                      |
| Applicant claims small entity status. See 37 CFR 1.27. Fees above are reduced by ½  |   |                            |   |                   | \$ 65        |   |                      |
| SUBTOTAL =  |   |                            |   |                   | \$ 65        |   |                      |
| Processing fee of \$130.00 for furnishing the English translation later the 30 months from the earliest claimed priority date [37 C.F.R. 1.492(f)].   |   |                            |   |                   | \$           |   |                      |
| TOTAL NATIONAL FEE =  |   |                            |   |                   | \$ 65        |   |                      |
| Fee for recording the enclosed assignment [37 C.F.R. 1.21(h)]. The assignment must be accompanied by an appropriate cover sheet (37 C.F.R. 3.28, 3.31). \$40.00 per property  |   |                            |   |                   | \$           |   |                      |
| 13/2007 LLANDGRA 00000039 10562215  |   |                            | TOTAL FEES ENCLOSED =                                 |                   |              | \$ 65   |                      |
| FC:2617 65.00 OP  |   |                            | )P  |                   |              | Amount to be refunded                         | \$                   |
| a.   A check in the amount of \$65.00 to cover the above fees is enclosed.  |   |                            |   |                   | Charged      |   |                      |
| b. Please charge Counsel's Deposit Account No. 50-1088 in the amount of \$ to cover the above fee.  A duplicate copy of this sheet is enclosed.   |   |                            |   |                   |              |   |                      |
| c. 🔯 The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Counsel's Deposit Account No. 50-1088.  d. 🔲 Fees are to be charged to a credit card. WARNING: Information on this form may become public. Credit card information should not be |   |                            |   |                   |              |   |                      |
| d.  | this form. Provide                      | credit card. V             | information and authorize                             | on this<br>zation | on PTO-203   | ecome public. Credit card inform              | nation should not be |
| NOTE: Where an ap<br>must be file   | opropriate time lir<br>d and granted to | nit under 3<br>restore the | 37 C.F.R. 1.494 or 1.49 e application to pending      | 5 has             | not been m   | et, a petition to revive [37 C.F              | .R. 1.137(a) or (b)] |
| SEND ALL CORRESPONDENCE TO:   |   |                            |   |                   |              | 11/1  |                      |
| CLARK & BRODY   |   |                            |   |                   |              | stock in la B                                 |                      |
| 1090 Vermont Avenue, N.W. Suite 250 Christoph   |   |                            |   |                   |              | or M. Brody                                   | voly                 |
| Washington, D.C. 20005 Telephone: 202-835-1111  |   |                            |   |                   | Registrati   | ner W.*Brody<br>ion No. 33,613<br>rch 9, 2007 | $\mathcal{O}$        |
| Fax: 202-835-1755 Customer Number: 2  |   |                            |   |                   |              |   |                      |



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### United States Patent and Trademark Office

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U.S. APPLICATION NUMBER NO.

FIRST NAMED APPLICANT

ATTY. DOCKET NO.

10/562,215

Klaus Junk

12007-0061

INTERNATIONAL APPLICATION NO. PCT/DE04/01313

I.A. FILING DATE

PRIORITY DATE

06/23/2004

06/26/2003

**CONFIRMATION NO. 5454 371 FORMALITIES LETTER** 

\*OC000000021551066\*

**CLARK & BRODY** 1090 VERMONT AVENUE, NW SUITE 250 WASHINGTON, DC 20005

Date Mailed: 12/12/2006

# NOTIFICATION OF MISSING REQUIREMENTS UNDER 35 U.S.C. 371 IN THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US)

The following items have been submitted by the applicant or the IB to the United States Patent and Trademark Office as a Designated / Elected Office (37 CFR 1.495).

- Indication of Small Entity Status
- Copy of the International Application filed on 12/23/2005
- English Translation of the IA filed on 12/23/2005
- Copy of the International Search Report filed on 12/23/2005
- Preliminary Amendments filed on 12/23/2005
- Oath or Declaration filed on 12/23/2005
- U.S. Basic National Fees filed on 12/23/2005
- Priority Documents filed on 12/23/2005

The applicant needs to satisfy supplemental fees problems indicated below.

The following items MUST be furnished within the period set forth below in order to complete the requirements for acceptance under 35 U.S.C. 371:

- Oath or declaration of the inventors, in compliance with 37 CFR 1.497(a) and (b), identifying the application by the International application number and international filing date. The current oath or declaration does not comply with 37 CFR 1.497(a) and (b) in that it:
  - is not executed in accordance with either 37 CFR 1.66 or 37 CFR 1.68.
- To avoid abandonment, a surcharge (for late submission of filing fee, search fee, examination fee or oath or declaration) as set forth in 37 CFR 1.492(h) of \$65 for a small entity in compliance with 37 CFR 1.27, must be submitted with the missing items identified in this letter.

## **SUMMARY OF FEES DUE:**

Total additional fees required for this application is \$65 for a Small Entity:

#### • \$65 Surcharge.

ALL OF THE ITEMS SET FORTH ABOVE MUST BE SUBMITTED WITHIN TWO (2) MONTHS FROM THE DATE OF THIS NOTICE OR BY 32 MONTHS FROM THE PRIORITY DATE FOR THE APPLICATION, WHICHEVER IS LATER, FAILURE TO PROPERLY RESPOND WILL RESULT IN ABANDONMENT.

The time period set above may be extended by filing a petition and fee for extension of time under the provisions of 37 CFR 1.136(a).

Applicant is reminded that any communications to the United States Patent and Trademark Office must be mailed to the address given in the heading and include the U.S. application no. shown above (37 CFR 1.5)

Registered users of EFS-Web may alternatively submit their reply to this notice via EFS-Web. https://sportal.uspto.gov/authenticate/AuthenticateUserLocalEPF.html

For more information about EFS-Web please call the USPTO Electronic Business Center at 1-866-217-9197 or visit our website at <a href="http://www.uspto.gov/ebc.">http://www.uspto.gov/ebc.</a>

If you are not using EFS-Web to submit your reply, you must include a copy of this notice.

MAMIE P PERSON

Telephone: (703) 308-9140 EXT 227

#### PART 1 - ATTORNEY/APPLICANT COPY

| U.S. APPLICATION NUMBER NO. | INTERNATIONAL APPLICATION NO. | ATTY. DOCKET NO. |
|-----------------------------|-------------------------------|------------------|
| 10/562,215                  | PCT/DE04/01313                | 12007-0061       |

FORM PCT/DO/EO/905 (371 Formalities Notice)